


 Substitute Form PTO-1449  
 (Modified)

 U.S. Department of Commerce  
 Patent and Trademark Office

 Attorney's Docket No.  
 20750-044US1

 Application No.  
 10/561,101

**Information Disclosure Statement  
 by Applicant**

(Use several sheets if necessary)

 Applicant  
 Brian Smith, *et al.*

 Filing Date  
 December 16, 2005

 Group Art Unit  
 1624

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	3,247,206	4/19/1966	Yost, <i>et al.</i>			
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	AC	3,751,417	8/7/1973	Allen, Jr., <i>et al.</i>			
	AD	4,082,844	4/4/1978	Lumma, Jr., <i>et al.</i>			
	AE	4,210,753	7/1/1980	Tominaga, <i>et al.</i>			
	AF	4,426,383	1/17/1984	Sugimoto, <i>et al.</i>			
	AG	4,457,931	7/3/1984	Milani, <i>et al.</i>			
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	AJ	4,803,204	2/7/1989	Dhar <i>et al.</i>			
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	AL	4,971,969	11/20/1990	Carlier <i>et al.</i>			
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Examiner Signature /Erich Leeser/

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(37 CFR §1.98(b))

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	BB	2004/0110826	6/10/2004	Uesaka <i>et al.</i>			
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	BD	2005/0032809	2/10/2005	Chiang, <i>et al.</i>			
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	BF	2005/0090503	4/28/2005	Chiang, <i>et al.</i>			

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	BG	DE2329399	1974	German			Abstract	
	BH	DE2345422	1975	German			Abstract	
	BI	DE3326118	2/9/1984	German			Abstract	
	BJ	DE4425648	1/25/1996	German			Abstract	
	BK	DE4425660	1/25/1996	German			Abstract	
	BL	EP0675118	10/9/2002	EPO				
	BM	GB872352	7/5/1961	GB				
	BN	JP56-046812	4/28/2001	Japan			Abstract	
	BO	JP2001261657	9/26/2001	Japan			Abstract	
	BP	JP2001328938	11/27/2001	Japan			Abstract	
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	BR	WO97/47601	12/18/1997	WIPO			Abstract	
	BS	WO99/25687	5/27/1999	WIPO				
	BT	WO00050396	8/31/2000	WIPO				
	BU	WO00/69821	11/23/2000	WIPO				
	BV	WO00/76984	12/21/2000	WIPO				
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	BX	WO01/19833	3/22/2001	WIPO				
	BY	WO01/21634	3/29/2001	WIPO				

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							Yes	No
	BZ	WO02/05819	1/24/2002	WIPO				
	CA	WO02/40456	5/23/2002	WIPO				
	CB	WO02/40457	5/23/2002	WIPO				
	CC	WO02/083678	10/24/2002	WIPO				
	CD	WO02/092585	11/21/2002	WIPO				
	CE	WO03/000663	1/3/2003	WIPO				
	CF	WO03/000666	1/3/2003	WIPO				
	CG	WO03/004467	1/16/2003	WIPO				
	CH	WO03/097636	11/27/2003	WIPO				
	CI	WO04/000829	12/31/2003	WIPO				
	CJ	WO04/000830	12/31/2003	WIPO				

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	CK	Int'l Preliminary Report on Patentability in Int'l App. PCT/US2004/019540 (the int'l phase application from which the present application was filed under 35 U.S.C. 371)
	CL	Araki, <i>et al.</i> , "Trophic Effect of $\beta$ -Amyloid Precursor Protein on Cerebral Cortical Neurons in Culture", <i>Biochem. Biophys. Res. Commun.</i> , 1991, 181(1), 265-271.
	CM	Arjona, <i>et al.</i> , "Effect of a 5-HT <sub>2C</sub> Serotonin Agonist, Dexnorfenfluramine, on Amyloid Precursor Protein Metabolism in Guinea Pigs", <i>Brain Res.</i> , 2002, 951, pp 135-140.
	CN	Baboulene, <i>et al.</i> , "Synthèse de nouveaux dérivés pipéraziniques: les alcoyl (ou aroyl)-1-(N-alcoyl (ou aroyl)pipérazine)-4-butène-1 one-3", <i>C.R. Acad. Sc. Paris.</i> 1975, 280, 149-151. (English language abstract is provided herewith.)
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	CP	Beck, <i>et al.</i> , "Amyloid Precursor Protein in Guinea Pigs - Complete cDNA Sequence and Alternative Splicing", <i>Biochim. Biophys. Acta</i> , 1997, 1351, 17-21.
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	CV	Cole, et al., <i>Stimulated Platelets Release Amyloid <math>\beta</math>-Protein Precursor</i> , <i>Biochem. Biophys. Res. Commun.</i> , Vol. 170, No. 1 (1990), 288-295.
	CW	Collier, et al., "Radiosynthesis and in-vivo Evaluation of the Pseudopeptide $\delta$ -Opioid Antagonist [ <sup>125</sup> I]-ITIPP( $\Psi$ )", <i>J. Labelled Cpd. Radiopharm.</i> , 1999, 42, Suppl., S264-S266.
	CX	Cowen, et al., "Hypophagic, Endocrine, and Subjective Responses to m-Chlorophenylpiperazine in Healthy Men and Women", <i>Human Psychopharmacol.</i> , 1995, 10, 385-391.
	CY	Cunningham, et al., "Rostrocaudal Variation of Fiber Type Composition in Rat Intercostal Muscles", <i>Histochem.</i> , 95 (1991), 513-517.
	CZ	Danuser, et al., Spinal 5-HT <sub>2</sub> Receptor-mediated Facilitation of Pudendal Nerve Reflexes in the Anaesthetized Cat, <i>Brit. J. Pharmacol.</i> , 1996, 118, 150-154.
	DA	Enea, et al., "Étude Thermodynamique De La Complexation De L'Argent Par La Pipérazine et Quelques-uns De Ses Dérivés en Milieu Eau-Ethanol", <i>Thermochim. Acta</i> , 1973, 6, 309-317. (English Language Abstract appears on p. 309 of the Text).
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	DC	Fried, et al., "Structure-Activity Relationships in the Field of Antibacterial Steroid Acids", <i>J. Med. Chem.</i> , 1965, 8, 279-282.
	DD	Fuller, et al., "Structural Relationships in the Inhibition of [ <sup>3</sup> H]serotonin binding to rat brain membranes in vitro by 1-phenyl-piperazines", <i>Biochem. Pharmacol.</i> , 1979, 29, 833-835.
	DE	Gabuzda, et al., "Inhibition of $\beta$ -Amyloid Production by activation of Protein Kinase C", <i>J. Neurochem.</i> , 1993, 61, 2326-2329.
	DF	Ghisio, et al., "Alzheimer's Disease Amyloid Precursor Protein is Present in Senile Plaques and Cerebrospinal Fluid: Immunohistochemical and Biochemical Characterization", <i>Biochem. Biophys. Res. Commun.</i> , 1989, 163, 430-437.
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	DJ	Hung, et al., "Activation of Protein Kinase C Inhibits Cellular Production of the Amyloid $\beta$ -Protein", <i>J. Biol. Chem.</i> , 1993, 268, 22959-22962.
	DK	Jenck, et al., "Evidence for a Role of 5-HT <sub>1C</sub> Receptors in the Antiserotonic Properties of Some Antidepressant Drugs", <i>Eur. J. Pharmacol.</i> , 1993, 231, 223-229.
	DL	Jin, et al., "Peptides Containing the RERMS Sequence of Amyloid $\beta$ /A4 Protein Precursor Bind Cell Surface and Promote Neurite Extension", <i>J. Neurosci.</i> , 1994, 14, 5461-5470.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E/

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**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
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	DN	Kametani, <i>et al.</i> , Studies on the Syntheses of Heterocyclic Compounds. CDLX. Benzyne Reaction. XIII. Benzyne Reaction of Halogenobenzenes with N-Alkylmorpholines, <i>J. Org. Chem.</i> , 1972, 37, 1450-1453.
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	DR	Lightowler, <i>et al.</i> , "An Investigation of the Mechanizm Responsible for Fluoxetine-Induced Hypophagia in Rats", <i>Eur. J. Pharmacol.</i> , 1996, 296, 137-143.
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	DT	Mattson, <i>et al.</i> , "Evidence for Excitoprotective and Intraneuronal Calcium-Regulating Roles for Secreted Forms of the $\beta$ -Amyloid Precursor Protein", <i>Neuron</i> , 1993, 10, 243-254.
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	DW	Mull, <i>et al.</i> , "N,N'-Disubstituted Compounds with Diverse Biological Activities", <i>J. Med. Chem.</i> , 1964, 8, 332-338.
	DX	Naito, <i>et al.</i> , "Synthesis and Antitumor Activity of Novel Pyrimidinyl Pyrazole Derivatives. II. Optimization of the Phenylpiperazine Moiety phenylpiperazinyl-1-trans-propenes", <i>Chem. Pharm. Bull.</i> , 2002, 50, 453-462.
	DY	Nishimoto, <i>et al.</i> , "Alzheimer Amyloid Protein Precursor Complexes with Brain GTP-binding Protein G <sub>s</sub> ", <i>Nature</i> , 1993, 362, 75-79.
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	EA	Oltersdorf, <i>et al.</i> , "The Secreted Form of the Alzheimer's Amyloid Precursor Protein with the Kunitz Domain is Protease Nexin-II", <i>Nature</i> , 1989, 341, 144-147.
	EB	Perry, <i>et al.</i> , "Prospective Study of Risk Factors for Development of Non-insulin Dependent Diabetes in Middle Aged British Men", <i>Brit. Med. J.</i> , 1995, 310, 560-564.
	EC	Palvimaki, <i>et al.</i> , "Interactions of Selective serotonin Reuptake Inhibitors with the Serotonin 5-HT <sub>2C</sub> Receptor", <i>Psychopharmacol.</i> , 1996, 126, 234-240.
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	EP	Selkoe, "Normal and Abnormal Biology of the $\beta$ -Amyloid Precursor Protein", <i>Ann. Rev. Neurosci.</i> , 1994, 17, 489-517.
	EQ	Smith, <i>et al.</i> , "Platelet Coagulation factor XIa-Inhibitor, a Form of Alzheimer Amyloid Precursor Protein", <i>Science</i> , 1990, Vol. 248, No. 4959 1126-1128.
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